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Featured Advisor

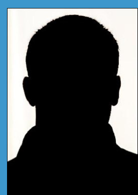
John Doe Financial Planning

12150 Monument Dr., Suite 400
Fairfax, VA 22033

(703) 624-9253
Visit Website

"Your Future is Our Future"

Advisor Profile



Name: John Doe
Phone Number: (703) 624-9253
Website: Visit Website

Research This Advisor

www.finra.org
www.sec.gov
www.aicpa.org

Request More Information

Services: Retirement Planning
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Disclaimer

Securities and investment advisory services offered through National Financial Advisory Services, Inc. Member FINRA/SIPC.

General | FAQ

Business Description

We are 100% independent, fee-based, investment and comprehensive financial planning firm. We are fiduciary to all ourclients and registered with NAPFA. Our clients include both individuals and institutions. Our investment services include several investment management strategies, and non-discretionary investment consulting. We develop and implement 401k and other retirement plans for small- and mid-size businesses.

General Information

Gender:	Male
CRD#:	123456
Firm CRD#:	67890
SEC File#:	801-12345
Insurance License#:	0E62587
Name of Broker/Dealer:	National Financial Advisory Services, Inc.
States Licensed in:	VA, MD, DC, DE, NC, PA
States Willing to do Business in:	MA, CT, FL, NY
Years of Experience in Financial Services:	15
Number of Years with Current Firm:	5
Work Experience:	5 years experience as an investment banker before becoming a financial advisor
I work with the following types of clients:	Businesses, Investment Clubs, Individual Investors, Non-Profit Organizations
Foreign Languages Serviced:	Spanish, French

Education

Academic Background

BS, Economics - Important University (1962)

Services

Investment Types:	Socially Responsible Investments (SRI), Options & Futures, Mortgages & Real Estate, Mutual Funds, Stocks, Bonds

Qualifications & Memberships

Certifications, Accreditations & Designations:	CERTIFIED FINANCIAL PLANNER (CFP®), Certified Public Accountant (CPA), Chartered Financial Consultant (ChFC), Chartered Life Underwriter (CLU®), Certified Senior Advisor (CSA)®

Securities Licenses:	Series 66, Series 26, Series 24, Series 7, Series 6
Insurance and Annuity Licenses:	Accident, Health, Life, Long Term Care
Financial Organization Memberships:	National Association of Estate Planners & Councils, American Institute of Certified Public Accountants (AICPA), Financial Planning Association (FPA)
Non-Financial Organization Memberships:	United Way

Firm Information

Number of Advisors in Office:	Up to 10 employees
I work with clients as part of a team:	Yes
Firm's Number of Clients:	38
Firm's Number of Planning Clients:	16
Firm's Number of Managed Clients:	22
Assets Managed by Firm:	$150 million
Advisor's Number of Clients:	15
Advisor's Number of Managed Clients:	11
Advisor's Number of Planning Clients:	4
Assets Managed by Advisor:	$15 million

Compliance

I am an acknowledged fiduciary:	Yes
Compliance Disclosures in Last 5 years:	I have a clean record
Criminal Disclosures in Last 5 years:	I have a clean record

Compensation & Fees

I am a fee-only financial professional:	Yes
Minimum Portfolio Size for New Managed Accounts:	$50,000
Compensation Methods:	Flat Fee, Based on Assets, Hourly
Fee % Based on Assets:	1%
Hourly Rate:	$150
Minimum Fee Charged for Managed Accounts:	$1,500
Minimum Fee Charged for Hourly Planning Accounts:	$600
Minimum Fee Charged for Flat Fee Accounts:	$2500

Prepared By: John Doe
Most Recent Update: 05/21/2008